

# ADMINISTRATIVE SET-ASIDE FFY18

	DOH	EFC
	Administrative	Administrative
Available Funds		
Funds from FFY2017	\$1,300,000.00	\$0.00
FFY 2018 Cap Grant (\$48,000,000)	\$0.00	\$1,920,000.00
Total Available	\$1,300,000.00	\$1,920,000.00
Category of Expenses for FFY 2018		
1. Personal Services	\$187,362.00	\$1,607,000.00
2. Fringe=DOH 59.97%, EFC 43.52%	\$112,361.00	\$699,000.00
3. Travel	\$2,000.00	\$4,100.00
4. Equipment	\$0.00	\$35,500.00
5. Supplies	\$80,000.00	\$11,900.00
6. Contractual	\$200,000.00	\$262,700.00
7. Construction	\$0.00	\$0.00
8. Other	\$0.00	\$0.00
Total Direct Charges	\$581,723.00	\$2,620,200.00
Indirect Charges DOH 0%,EFC 28.25%	\$0.00	\$651,000.00
Total	\$581,723.00	\$3,271,200.00
Balance of Available Funds	\$718,277.00	-\$1,351,200.00
Reconciliation of Funds		
Funds from FFY 2017	\$1,300,000.00	\$0.00
Less: Funds Expended	\$581,723.00	\$0.00
Balance of Remaining FFY2017	\$718,277.00	\$0.00
FFY 2018 Set-Aside Available	\$0.00	\$1,920,000.00
FFY 2018 Set-Aside Needed	\$0.00	\$3,271,200.00
FFY 2018 Set-Aside Remaining	\$0.00	-\$1,351,200.00

The difference between the available set-aside money and the cost to run the program will be made up by using other resources that may include fees that have been collected by the program.

**DWSRF FFY18 DOH Administrative  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

**\$187,362**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Secretary 1, G-18	\$46,573
Assistant Engineer, G-20	\$78,014
Health Program Administrator, G-18	\$57,775
<b>TOTAL</b>	<b>\$182,362</b>

b. Non-Employee Services

N/A

c. Overtime

\$5,000

**Other Than Personal Services**

**\$394,361**

a. Supplies and Materials

\$ 80,000

General office supplies including photocopier/printer supplies, paper for all printers and copiers, materials for daily business shipping and mailing.

b. Travel

\$ 2,000

c. Contractual Services

\$200,000

Information Technology Services are provided to the Department of Health by the NYS Office of Information Technology.

d. Equipment

\$ 0

e. Fringe Benefits @59.97% \$112,361

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefits Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

f. Indirect Costs -waiver \$0

The Center for Environmental Health is requesting an indirect waiver for this grant.

**WORK PLAN - GRAND TOTAL:**

**\$581,723**

- The full amount of this set-aside for the New York State Department of Health will be paid using FFY2017 monies
- The New York State's Environmental Facilities Corporation, will use the full amount of the FFY2018 set-aside for their portion of the work.

**NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION  
DRINKING WATER STATE REVOLVING FUND PROGRAM  
FFY 2018 BUDGET**

	<u>Amount</u>
<u>Available Funds</u>	
Grant - Admin. Set-Aside	1,920,000
 <u>Projected Expenses</u>	
Personnel	1,607,000
Fringe Benefits (43.52%)	699,000
Travel	4,100
Equipment Purchase & Maintenance	35,500
Supplies & Materials	11,900
Contractual	262,700
Total Direct Charges	2,620,200
Indirect Charges (28.25%)	651,000
Total	<u>3,271,200</u>

## **DWSRF FFY'18 BREAKDOWN OF PROJECTED EXPENSES**

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<b>Personal Service Costs</b>		<b>1,607,000</b>
<b>Fringe benefits</b>		
Consists of Health insurance, Social Security, Pensions, Worker's Compensation, Dental Insurance, Unemployment Insurance, Vision Benefits, Medicare, Long Term Disability		<b>699,000</b>
<b>Travel</b>		
The Corporation's responsibilities with the Program will have staff travel to IUP hearings, application workshops and bond closings in New York City for DWSRF bond issues.		<b>4,100</b>
<b>Supplies &amp; Materials</b>		
General office supplies: pens, pencils, copier paper folders, calendars, calculators, toner cartridges, etc.	6,700	
Books and Journals	5,200	<b>11,900</b>
<b>Equipment Purchase and Maintenance</b>		
Computers Equipment such as servers, CPU's, monitors, back up systems, security appliance for computer, printer, copiers, etc.		<b>35,500</b>
<b>Contractual Services</b>		
Printing of the DWSRF IUP, maintenance for the Corporation's toll free telephone line, training, insurance, temporary services if needed, postage:		
Training and Conferences	3,100	
Printing	300	
Consultants	225,900	
Insurance: DW share of Auto insurance, computer, Liability and Property insurance	3,600	
Postage	3,600	
Telephone	15,500	
Misc. Contractual	10,700	<b>262,700</b>
<b>Indirect costs</b>		<b>651,000</b>

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**Grand Total**

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**3,271,200**

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### TECHNICAL ASSISTANCE SET-ASIDE FFY18

<b>Available Funds</b>	<b>DOH Technical Assistance</b>
<b>FFY 2018 Grant (48,000,000)</b>	\$960,000.00
<b>Total Available</b>	\$960,000.00
<b>Category of Expenses for FFY 2018</b>	
<b>1. Personal Services</b>	\$582,955.00
<b>2. Fringe Benefits (59.97%)</b>	\$349,598.00
<b>3. Travel</b>	\$22,500.00
<b>4. Equipment</b>	\$0.00
<b>5. Supplies</b>	\$4,947.00
<b>6. Contractual</b>	\$0.00
<b>7. Construction</b>	\$0.00
<b>8. Other</b>	\$0.00
<b>Total Direct Charges</b>	\$960,000.00
<b>Indirect Charges (0%)</b>	\$0.00
<b>Total</b>	\$960,000.00
<b>Balance of Available Funds</b>	\$0.00
<b>FFY 2018 Set-Aside Available</b>	\$960,000.00
<b>FFY 2018 Set-Aside Needed</b>	\$960,000.00
<b>FFY 2018 Set-Aside Remaining</b>	\$0.00

**DWSRF FFY18 Technical Assistance  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service **\$582,955**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Professional Engineer 2, G-27	\$109,133
Professional Engineer 2, G-27	\$100,519
Professional Engineer 1, G-24	\$91,167
Professional Engineer 1, G-24	\$80,306
Laboratory Specialist, G-18	\$70,566
Assistant Engineer, G-20	\$65,632
Assistant Engineer, G-20	\$65,632
<b>Totals:</b>	<b>\$582,955</b>

b. Non-Employee Services N/A

c. Overtime \$0

**Other Than Personal Services** **\$377,045**

a. Supplies and Materials \$ 4,947

General office supplies, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples

Technical equipment/meters used to test drinking water.

b. Travel \$ 22,500



The Department's responsibilities and activities associated with the Technical Assistance Program require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations, and public hearings as required. Program staff expected to be travelling are in the Engineering titles.

c.	Contractual Services	\$0
d.	Fringe Benefits @ 59.97%	\$349,598

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefits Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

e.	Indirect Costs – waiver	\$0
The Center for Environmental Health is requesting an indirect waiver for this grant.		

<b><u>EXPENDITURE PLAN - GRAND TOTAL:</u></b>	<b><u>\$960,000</u></b>
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**Program Management Set-Aside**

<b>Available Funds</b>	<b>Administer PWSS Program</b>
<b>Funds from FFY 2017</b>	\$3,955,900.00
<b>FFY 2018 Grant (48,000,000)</b>	\$4,800,000.00
<b>Total Available</b>	\$8,755,900.00
<b>Category of Expenses for FFY 2018</b>	
<b>1. Personal Services</b>	\$3,696,972.00
<b>2. Fringe Benefits (59.97%)</b>	\$2,211,077.00
<b>3. Travel</b>	\$76,000.00
<b>4. Equipment</b>	\$0.00
<b>5. Supplies</b>	\$80,000.00
<b>6. Contractual</b>	\$454,000.00
<b>7. Construction</b>	\$0.00
<b>8. Other</b>	\$0.00
<b>Total Direct Charges</b>	\$6,518,049.00
<b>Indirect Charges (0%)</b>	\$0.00
<b>Total</b>	\$6,518,049.00
<b>Balance of Available Funds</b>	\$2,237,851.00
<b>Reconciliation of Funds</b>	
<b>Funds from FFY 2017</b>	\$3,955,900.00
<b>Less: Funds Expended</b>	\$3,955,900.00
<b>Balance of Remaining FFY 2016</b>	\$0.00
<b>FFY 2018 Set-Aside Available</b>	\$4,800,000.00
<b>FFY 2018 Set-Aside Needed</b>	\$2,562,149.00
<b>FFY 2018 Set-Aside Remaining</b>	\$2,237,851.00

**DWSRF FFY18 Program Management  
Administer PWSS Program  
Budget Back up**

Summary

Proposed Use of Funds:

**Total Personal Service**

**\$ 3,696,972**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Professional Engineer 1, G-24	\$75,674
Professional Engineer 1, G-24	\$88,736
Professional Engineer 1, G-24	\$95,534
Senior Sanitarian, G-18	\$70,566
Senior Sanitarian, G-18	\$59,306
Principal Sanitarian, G-23	\$90,876
Principal Sanitarian, G-23	\$90,876
Public Hlth Spec. 3, G-23	\$90,876
Principal Sanitarian, G-23	\$90,876
Professional Engineer 1, G-24	\$95,534
Research Scientist 4, G-27	\$109,133
Professional Engineer 2, G-27	\$105,395
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$95,534
Senior Sanitarian, G-18	\$66,273
Professional Engineer 1, G-24	\$95,534
Secretary 1, G-11	\$46,573
Professional Engineer 1, G-24	\$95,534
Public Hlth Spec. 3, G-23	\$90,876

Research Scientist 2, G-22	\$70,566
Research Scientist 3, G-25	\$80,695
Secretary 1, G-11	\$46,573
Assistant Engineer, G-20	\$78,014
Assistant Engineer, G-20	\$63,980
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$95,534
Research Scientist 4, G-27	\$100,645
Public Hlth Spec. 3, G-23	\$90,878
Research Scientist 2, G-22	\$70,566
Laboratory Specialist, G-18	\$60,446
Research Scientist 4, G-27	\$109,133
Program Aide, G-13	\$42,555
Assistant Engineer, G-20	\$63,980
Assistant Engineer, G-20	\$63,980
Health Program Administrator, G-18	\$70,566
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$95,534
Professional Engineer 1, G-24	\$80,538
Professional Engineer 2, G-27	\$105,395
Research Scientist 2, G-22	\$86,398
Research Scientist 2, G-22	\$70,487
Professional Engineer 2, G-27	\$109,133
Program Management TOTAL	<b>\$3,686,972</b>

b.	Non-Employee Services	N/A
c.	Overtime	\$10,000
<b><u>Other Than Personal Services</u></b>		<b><u>\$2,821,077</u></b>
a.	Supplies and Materials	\$80,000

General office supplies, software maintenance and licenses, card printer, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples.

- b. Equipment \$ 0
- c. Travel \$76,000

The Department's responsibilities and activities associated with the Source Protection and Capacity Development programs will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations and meetings, and to attend public hearings as required. Program staff expected to be travelling are: Public Health Specialists, Sanitarians, and Engineers.

- d. Contractual Services \$454,000

1) The Department plans to enter into a contract with a chosen vendor to provide, a four-day sanitary training course using USEPA approved course curriculum and training will be provided. The course will combine basic water treatment knowledge with application of SDWA regulations to identify sanitary deficiencies. \$ 50,000

2) A contract is in place to implement a program of technical assistance for nontransient, noncommunity public water systems and small community water systems, such as mobile home parks. \$265,000

3) An MOU agreement is in effect with SUNY Albany to support the Bureau's work toward identifying and maintaining water service boundaries (information that is essential to understanding the impacts of new rule implementation), improving the quality of data within SDWIS, and maintaining and updating critical infrastructure data. Graduate interns will complete this work. \$ 64,000

4) The Bureau has purchased water analysis services from Certified Laboratories to provide testing of both raw and drinking water for public water systems that are supervised by the Department's District Office staff. These District Offices are located in rural areas throughout NYS and require a local laboratory to perform water testing in order to meet the required temperature and time requirements for water analysis. The contract is ongoing. \$ 75,000

- e. Fringe Benefits @59.97% \$2,211,077
- Health Insurance

Pensions  
Social Security  
Workers' Compensation  
Employee Benefit Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

f. Indirect Cost – waiver \$ 0  
The Center for Environmental Health will request an indirect waiver for this grant.

**EXPENDITURE PLAN - GRAND TOTAL:** **\$ 6,518,049**

### NECESSARY STATE MATCH

<u>FFY</u>	<u>Federal Grant</u>	<u>State Match</u>
1997	\$59,167,700	\$11,833,540
1998	\$45,061,600	\$9,012,320
1999	\$47,228,900	\$9,445,780
2000	\$49,084,500	\$9,816,900
2001	\$49,287,400	\$9,857,480
2002	\$62,430,700	\$12,486,140
2003	\$62,055,500	\$12,411,100
2004	\$64,373,600	\$12,874,720
2005	\$64,237,300	\$12,847,460
2006	\$36,636,100	\$7,327,220
2007	\$36,638,000	\$7,327,600
2008	\$36,265,000	\$7,253,000
2009	\$36,265,000	\$7,253,000
2009 ARRA	\$86,811,000	\$0
2010	\$89,427,000	\$17,885,400
2011	\$62,055,000	\$12,411,000
2012	\$60,923,000	\$12,184,600
2013	\$55,485,000	\$11,097,000
2013 DRAA	\$56,572,914	\$11,314,583
2014	\$42,455,000	\$8,491,000
2015	\$43,865,729	\$8,773,146
2016	\$39,900,000	\$7,980,000
2017	\$39,559,000	\$7,911,800
2018	\$48,000,000	\$9,600,000
Totals:	\$1,273,784,943	\$237,394,789

### DWSRF STATE MATCH

<u>Date</u>	<u>Amount</u>
February 3, 1998	\$44,700,000
July 9, 1998	\$5,200,000
July 9, 1998	\$50,000,000
September 17, 1999	\$100,000
September 26, 2000	\$35,000,000
August 30, 2001	\$50,000,000
September 30, 2002	\$50,000,000
September 9, 2003	\$30,000,000
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	\$265,000,000